

MORTGAGE LICENSE RENEWAL

NOTICE OF MORTGAGE LOAN COMPANY AND MORTGAGE LOAN BROKER LICENSE EXPIRATION

Please read carefully and complete attached application.

Pursuant to Kentucky Revised Statutes Chapter 294.034(2), all **LICENSES** obtained under this chapter **expire JUNE 30** next after the date of issuance unless renewed.

A license may be renewed by filing the enclosed application with this Office and paying the annual renewal fee as follows:

Principal **licensed** office - \$350

Additional branch office(s) **in Kentucky** - \$250 per office

(Please make the check payable to **KENTUCKY STATE TREASURER.**)

The application and payment must be received by this Office on or before June 20, of the current year, prior to the June 30 expiration date. Also, as always, any new legislation that may have passed during the last Legislative Session may be viewed at www.lrc.state.ky.

***** If an application for renewal is not received by the above referenced date, the Commissioner MAY reinstate the license if the licensee pays the filing fee AND a reinstatement fee of two hundred fifty dollars (\$250).**

Please submit the required information/fees and direct any questions regarding this renewal to:

Gary L. Thurman
Office of Financial Institutions
1025 Capital Center Drive, Suite 200
Frankfort, Kentucky 40601
(502) 573-3390
Gary.Thurman@ky.gov
www.dfi.ky.gov

11/05

MORTGAGE LICENSE RENEWAL
KENTUCKY OFFICE OF FINANCIAL INSTITUTIONS
1025 CAPITAL CENTER DRIVE, SUITE 200
FRANKFORT, KENTUCKY 40601
502-573-3390

Application is hereby made for the **renewal** of the following license under Kentucky Revised Statutes Chapter 294 (please “check” the appropriate status):

Mortgage Loan Company _____
Or
Mortgage Loan Broker _____

1. **Name and Address** of company/broker **as it appears on license:**

2. Telephone Number: _____

3. FEDERAL TAX I.D. NUMBER: _____

4. **E-Mail** address: _____

5. **WEB** address : _____

6. Address(es) of **branch(es) in Kentucky**, if any, and branch manager's name:

branch

branch

(If more space is required to list licensed branches, attach separate sheet)

7. Has the licensee changed its form of organization in the past year?
YES _____ NO _____ If yes, explain: _____

8. Have the owners, partners, directors, principal officers, or authorized agent changed in the past year? YES _____ NO _____

If yes, explain: _____

**** “PRIOR” Written approval is required for any change of control of 10% or more of outstanding voting stock pursuant to KRS 294.075.**

9. Has the general plan and character of the licensee's business changed in the past year? YES _____ NO _____ If yes, explain:

10. In the past year, has the licensee or any of its employees, officers or directors been:

- a) the subject of any actions (cease and desist orders, consent orders, injunctions, license suspensions or revocation, etc.) by any regulatory agency, state or federal? YES* _____ NO _____
- b) refused any license (except motor vehicle operator) by any government agency? YES* _____ NO _____
- c) the subject of litigation (including suits under the state or federal Truth-in-Lending Act) in connection with the granting or arranging of mortgage loans? YES* _____ NO _____
- d) charged in any case pending in any state with fraudulent or dishonest dealing? YES* _____ NO _____

***If any answer to any of the foregoing is YES, explain the circumstances fully, using additional sheets as necessary.**

10. List any other material changes which render any information no longer accurate contained in licensee's original application. _____

11. Please list name, direct phone number and e-mail of person to contact for

a: Licensing issues _____

b: Regulatory/Compliance _____

12. Please state the number of KENTUCKY mortgage loans your company has made in the past 12 months _____.

13. Please list the current owner's names and percentage of ownership.

14. Please list the names and their KENTUCKY registration numbers of all loan officers/originators/brokers currently employed. (if a company is licensed in multiple states, just list the people whose territory includes Kentucky).

--- please attach additional sheets, as necessary for 13 and/or 14.

**Include check for license fee made payable to Kentucky State
Treasurer. Please DO NOT STAPLE check to application.**

**I, _____, hereby declare on my oath that I
have executed this application for renewal of license and that the facts
stated in this application of renewal are true and correct.**

Partner or Officer Signature

Subscribed and sworn to before me this
_____ day of _____, 20 ____.

Notary Public – State at Large

My Commission Expires: _____